



## THE SIMPLICITY INDEX OF TOURNAMENTS

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ABSTRACT. An  $n$ -tournament  $T$  with vertex set  $V$  is simple if there is no subset  $M$  of  $V$  such that  $2 \leq |M| \leq n - 1$  and for every  $x \in V \setminus M$ , either  $M \rightarrow x$  or  $x \rightarrow M$ . The simplicity index of an  $n$ -tournament  $T$  is the minimum number  $s(T)$  of arcs whose reversal yields a nonsimple tournament. Müller and Pelant (1974) proved that  $s(T) \leq (n - 1)/2$ , and that equality holds if and only if  $T$  is doubly regular. As doubly regular tournaments exist only if  $n \equiv 3 \pmod{4}$ ,  $s(T) < (n - 1)/2$  for  $n \not\equiv 3 \pmod{4}$ . In this paper, we study the class of  $n$ -tournaments with maximal simplicity index for  $n \not\equiv 3 \pmod{4}$ .

## 1. INTRODUCTION

A tournament  $T$  consists of a finite set  $V$  of vertices together with a set  $A$  of ordered pairs of distinct vertices, called arcs, such that for all  $x \neq y \in V$ ,  $(x, y) \in A$  if and only if  $(y, x) \notin A$ . Such a tournament is denoted by  $T = (V, A)$ . Given  $x \neq y \in V$ , we say that  $x$  dominates  $y$  and we write  $x \rightarrow y$  when  $(x, y) \in A$ . Similarly, given two disjoint subsets  $X$  and  $Y$  of  $V$ , we write  $X \rightarrow Y$  if  $x \rightarrow y$  holds for every  $(x, y) \in X \times Y$ . Throughout this paper, we mean by an  $n$ -tournament a tournament with  $n$  vertices.

A tournament is *regular* if there is an integer  $k \geq 1$  such that each vertex dominates exactly  $k$  vertices. It is *doubly regular* if there is an integer  $k \geq 1$  such that every unordered pair of vertices dominates exactly  $k$  vertices.

A tournament is *transitive*, if for any vertices  $x, y$  and  $z$ ,  $x \rightarrow y$  and  $y \rightarrow z$  implies that  $x \rightarrow z$ . A tournament  $T = (V, A)$  is *reducible* if  $V$  admits a bipartition  $\{X, Y\}$  such that  $X \rightarrow Y$ . The notion of simple tournament was introduced by Fried and Lakser [8], it was motivated by questions in algebra. It is closely related to modular decomposition [9] which involves the notion of module. Recall that a *module* of a tournament  $T = (V, A)$  is a subset  $M$  of  $V$  such that for every  $x \in V \setminus M$  either  $M \rightarrow \{x\}$  or  $\{x\} \rightarrow M$ . For example,  $\emptyset, \{x\}$ , where  $x \in V$ , and  $V$  are modules of  $T$  called *trivial*

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modules. An  $n$ -tournament is *simple* [6, 15] (or prime [4] or primitive [5] or indecomposable [10, 17]) if  $n \geq 3$  and all its modules are trivial. The simple tournaments with at most 5 vertices are shown in Figure 1. A tournament is *decomposable* if it admits a nontrivial module.

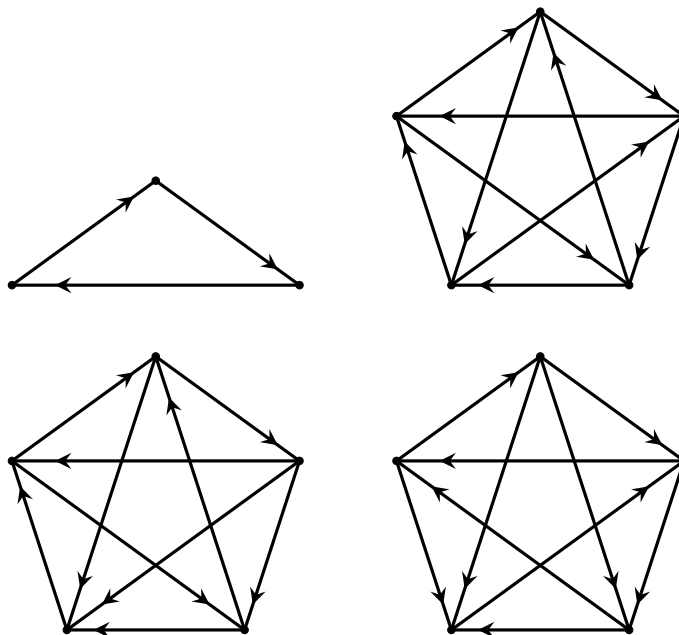


FIGURE 1. The simple tournaments with at most 5 vertices

Given an  $n$ -tournament  $T$ , the *Slater index*  $i(T)$  of  $T$  is the minimum number of arcs that must be reversed to make  $T$  transitive [18]. It is not difficult to see that  $i(T) \leq n(n-1)/4$ . However, we do not know an exact determination of the upper bound of  $i(T)$ . Erdős and Moon [7] proved that this bound is asymptotically equal to  $n^2/4$ . Recently, Satake [16] proved that the Slater index of doubly regular  $n$ -tournaments is at least

$$\frac{n(n-1)}{4} - n^{\frac{3}{2}} \log_2(2n).$$

Kirkland [11] defined the *reversal index*  $i_R(T)$  of a tournament  $T$  as the minimum number of arcs whose reversal makes  $T$  reducible. Clearly,  $i_R(T) \leq i(T)$ . Kirkland [11] proved that  $i_R(T) \leq \lfloor (n-1)/2 \rfloor$  and characterized all the tournaments for which equality holds.

The indices above can be interpreted in terms of distance between tournaments. The *distance*  $d(T_1, T_2)$  between two tournaments  $T_1$  and  $T_2$  with the same vertex set is the number of pairs  $\{x, y\}$  of vertices for which the arc between  $x$  and  $y$  has not the same direction in  $T_1$  and  $T_2$ . Let  $\mathcal{F}$  be a family of tournaments with vertex set  $V$ . The distance from a tournament  $T$  to the family  $\mathcal{F}$  is  $d(T, \mathcal{F}) = \min\{d(T, T') : T' \in \mathcal{F}\}$ . If  $\mathcal{F}$  is the family

of transitive tournaments on  $V$ , then  $i(T) = d(T, \mathcal{F})$ . If  $\mathcal{F}$  is the family of reducible tournaments on  $V$ , then  $i_R(T) = d(T, \mathcal{F})$ .

By considering the family of decomposable tournaments, we obtain the simplicity index introduced by Müller and Pelant [15]. Precisely, consider an  $n$ -tournament  $T$ , where  $n \geq 3$ . The *simplicity index*  $s(T)$  of  $T$  (also called the *arrow-simplicity* of  $T$  in [15]) is the minimum number of arcs that must be reversed to make  $T$  nonsimple. For example, the tournaments shown in Figure 1 have simplicity index 1. Obviously,  $s(T) \leq i_R(T)$  and  $s(T) \leq (n-1)/2$ . Müller and Pelant proved that  $s(T) = (n-1)/2$  if and only if  $T$  is doubly regular.

A dual notion of the simplicity index is the decomposability index [2], which is obtained by considering the family of simple tournaments.

In this paper, we provide an upper bound for  $s(T)$ , where  $T$  is an  $n$ -tournament for  $n \not\equiv 3 \pmod{4}$ . More precisely, we obtain the following result.

**Theorem 1.1.** *Given an  $n$ -tournament  $T$ , the following statements hold*

- (1) *if  $n = 4k + 2$ , then  $s(T) \leq 2k$ ;*
- (2) *if  $n = 4k + 1$ , then  $s(T) \leq 2k - 1$ ;*
- (3) *if  $n = 4k$ , then  $s(T) \leq 2k - 2$ .*

To prove that the bounds in this theorem are the best possible, we use the double regularity as follows.

**Theorem 1.2.** *Let  $l \in \{1, 2, 3\}$ . Consider a doubly regular tournament  $T$  of order  $4k + 3$ , where  $k \geq l$ . The simplicity index of a tournament obtained from  $T$  by removing  $l$  vertices is  $(2k + 1) - l$ .*

As shown by the next result, the opposite direction in Theorem 1.2 holds when  $l = 1$ .

**Theorem 1.3.** *Given a tournament  $T$  with  $4k + 2$  vertices, where  $k \geq 1$ , if  $s(T) = 2k$ , then  $T$  is obtained from a doubly regular tournament by removing one vertex.*

The existence of doubly regular tournaments is equivalent to the existence of skew-Hadamard matrices [3]. Wallis [20] conjectured that  $n \times n$  skew-Hadamard matrices exist if and only if  $n = 2$  or  $n$  is divisible by 4. Infinite families of skew-Hadamard matrices can be found in [12].

The most known examples of a doubly regular tournament are obtained from Paley construction. For a prime power  $q \equiv 3 \pmod{4}$ , the *Paley tournament* of order  $q$  is the tournament whose vertex set is the finite field  $\mathbb{F}_q$ , such that  $x$  dominates  $y$  if and only if  $x - y$  is a nonzero quadratic residue in  $\mathbb{F}_q$ .

2. PRELIMINARIES

Let  $T = (V, A)$  be an  $n$ -tournament and let  $x \in V$ . The *out-neighborhood* of  $x$  is

$$N_T^+(x) := \{y \in V : x \rightarrow y\},$$

and the *in-neighborhood* of  $x$  is

$$N_T^-(x) := \{y \in V : y \rightarrow x\}.$$

The *out-degree* of  $x$  (resp. the *in-degree* of  $x$ ) is

$$\delta_T^+(x) := |N_T^+(x)| \quad (\text{resp. } \delta_T^-(x) := |N_T^-(x)|).$$

The *out-degree* of  $x$  is also called the *score* of  $x$  in  $T$ . Recall that

$$(2.1) \quad \sum_{z \in V} \delta_T^+(z) = \sum_{z \in V} \delta_T^-(z) = \frac{n(n-1)}{2}.$$

A tournament is *near-regular* if there exists an integer  $k > 0$  such that the out-degree of every vertex equals  $k$  or  $k - 1$ .

*Remark:* Let  $T$  be an  $n$ -tournament. It follows from (2.1) that

- (1)  $T$  is regular if and only if  $n$  is odd and every vertex has out-degree  $(n - 1)/2$ ;
- (2)  $T$  is near-regular if and only if  $n$  is even and  $T$  has  $n/2$  vertices of out-degree  $n/2$  and  $n/2$  vertices of out-degree  $(n - 2)/2$ .

**Notation.** Let  $T = (V, A)$  be a near-regular tournament of order  $4k + 2$ . We can partition  $V$  into two  $(2k + 1)$ -subsets,

$$V_{\text{even}} := \{z \in V, \delta_T^+(z) = 2k\} \text{ and } V_{\text{odd}} := \{z \in V, \delta_T^+(z) = 2k + 1\}.$$

Let  $x, y$  be distinct vertices of an  $n$ -tournament  $T = (V, A)$ . The set  $V \setminus \{x, y\}$  can be partitioned into four subsets:

$$\begin{aligned} N_T^+(x) \cap N_T^+(y), & \quad N_T^-(x) \cap N_T^-(y), \\ N_T^+(x) \cap N_T^-(y), & \quad N_T^-(x) \cap N_T^+(y). \end{aligned}$$

The *out-degree* (resp. the *in-degree*) of  $(x, y)$  is

$$\delta_T^+(x, y) := |N_T^+(x) \cap N_T^+(y)| \quad (\text{resp. } \delta_T^-(x, y) := |N_T^-(x) \cap N_T^-(y)|).$$

The elements of  $(N_T^+(x) \cap N_T^-(y)) \cup (N_T^-(x) \cap N_T^+(y))$  are called *separators* of  $x, y$  and their number is denoted by  $\sigma_T(x, y)$ .

**Lemma 2.3.** Let  $T$  be an  $n$ -tournament with vertex set  $V$ . For any  $x \neq y \in V$ , we have

- $\sigma_T(x, y) + \delta_T^-(x, y) + \delta_T^+(x, y) = n - 2$ ;
- $\delta_T^-(x, y) - \delta_T^+(x, y) = \delta_T^-(x) - \delta_T^+(y)$ .

In particular, if  $T$  is regular, then for any  $x \neq y \in V$ ,  $\delta_T^-(x, y) = \delta_T^+(x, y)$ .

*Proof.* The first assertion is obvious. For the second assertion, we have

$$|N_T^-(x)| = |N_T^-(x) \cap N_T^-(y)| + |N_T^-(x) \cap N_T^+(y)| + |N_T^-(x) \cap \{y\}|$$

and

$$|N_T^+(y)| = |N_T^+(y) \cap N_T^+(x)| + |N_T^+(y) \cap N_T^-(x)| + |N_T^+(y) \cap \{x\}|.$$

Moreover,  $y \in N_T^-(x)$  if and only if  $x \in N_T^+(y)$ . Then

$$|N_T^-(x) \cap \{y\}| = |N_T^+(y) \cap \{x\}|$$

and hence

$$|N_T^-(x) \cap N_T^-(y)| - |N_T^+(x) \cap N_T^+(y)| = |N_T^-(x)| - |N_T^+(y)|. \quad \square$$

Let  $T = (V, A)$  be a tournament. For each vertex  $z \in V$ , we have

$$\delta_T^-(z)\delta_T^+(z) = \left| \{ \{x, y\} \in \binom{V}{2} : z \in (N_T^-(x) \cap N_T^+(y)) \cup (N_T^+(x) \cap N_T^-(y)) \} \right|.$$

By double-counting, we obtain

$$(2.2) \quad \sum_{z \in V} \delta_T^+(z)\delta_T^-(z) = \sum_{\{x, y\} \in \binom{V}{2}} \sigma_T(x, y).$$

In the next proposition, we give some basic properties of doubly regular tournaments. For the proof, see [15].

**Proposition 2.4.** *Let  $T = (V, A)$  be a doubly regular  $n$ -tournament. There exists  $k \geq 0$  such that  $n = 4k + 3$ ,  $T$  is regular, and for all  $x, y \in V$  such that  $x \rightarrow y$ , we have*

$$|N_T^+(x) \cap N_T^+(y)| = |N_T^-(x) \cap N_T^-(y)| = |N_T^+(x) \cap N_T^-(y)| = k$$

$$\text{and } |N_T^-(x) \cap N_T^+(y)| = k + 1.$$

### 3. PROOF OF THEOREM 1.1

Let  $T = (V, A)$  be a tournament. Given a subset  $B$  of  $A$ , we denote by  $\text{Inv}(T, B)$  the tournament obtained from  $T$  by reversing all the arcs of  $B$ . We also use the following notation:

$$\delta_T^+ = \min \{ \delta_T^+(x) : x \in V \}, \quad \delta_T^- = \min \{ \delta_T^-(x) : x \in V \},$$

$$\delta_T = \min(\delta_T^+, \delta_T^-), \quad \sigma_T = \min \{ \sigma_T(x, y) : x \neq y \in V \}.$$

The next proposition provides an upper bound of the simplicity index of a tournament.

**Proposition 3.1.** *For a tournament  $T = (V, A)$  with at least 3 vertices, we have  $s(T) \leq \min(\delta_T, \sigma_T)$ .*

*Proof.* Let  $x \in V$ . Clearly, the subset  $V \setminus \{x\}$  is a nontrivial module of  $\text{Inv}(T, \{x\} \times N_T^+(x))$  and  $\text{Inv}(T, N_T^-(x) \times \{x\})$ . It follows that

$$s(T) \leq \min_{x \in V} (\delta_T^+(x), \delta_T^-(x)) = \delta_T.$$

Now, consider an unordered pair  $\{x, y\}$  of vertices of  $T$  and let

$$B := (\{x\} \times ((N_T^+(x) \cap N_T^-(y)) \cup (N_T^+(y) \cap N_T^-(x)) \times \{x\})).$$

Clearly,  $\{x, y\}$  is a module of  $\text{Inv}(T, B)$ . It follows that

$$s(T) \leq |B| = |N_T^+(x) \cap N_T^-(y)| + |N_T^+(y) \cap N_T^-(x)| = \sigma_T(x, y).$$

Hence,  $s(T) \leq \sigma_T$ .  $\square$

In addition to the previous proposition, the proof of Theorem 1.1 requires the following lemma.

**Lemma 3.2.** *Given an  $n$ -tournament  $T = (V, A)$  with  $n \geq 2$ , we have*

$$\delta_T \leq \left\lfloor \frac{n-1}{2} \right\rfloor \text{ and } \sigma_T \leq \left\lfloor \frac{n-1}{2} \right\rfloor.$$

*Proof.* For every  $x \in V$ , we have  $\min(\delta_T^+(x), \delta_T^-(x)) \leq (n-1)/2$ . Thus,

$$\delta_T \leq \left\lfloor \frac{n-1}{2} \right\rfloor.$$

Now, to verify that  $\sigma_T \leq \lfloor (n-1)/2 \rfloor$ , observe that

$$\sigma_T \leq \frac{1}{\binom{|V|}{2}} \sum_{\{x,y\} \in \binom{V}{2}} \sigma_T(x, y).$$

It follows from (2.2) that

$$\begin{aligned} \sigma_T &\leq \frac{2}{n(n-1)} \sum_{z \in V} \delta_T^+(z) \delta_T^-(z) \\ &\leq \frac{2}{n(n-1)} \sum_{z \in V} \left( \frac{\delta_T^+(z) + \delta_T^-(z)}{2} \right)^2 \\ &\leq \frac{(n-1)}{2}. \end{aligned} \quad \square$$

*Proof of Theorem 1.1.* For the first statement, suppose that  $n = 4k + 2$ . By Proposition 3.1 and Lemma 3.2, we have

$$s(T) \leq \delta_T \leq \left\lfloor \frac{n-1}{2} \right\rfloor = 2k.$$

For the second statement, suppose that  $n = 4k + 1$ . By Proposition 3.1,  $s(T) \leq \delta_T$ . If  $T$  is not regular, then  $\delta_T < (n-1)/2$  and hence  $s(T) \leq 2k - 1$ . Suppose that  $T$  is regular and let  $x \neq y \in V$ . By Lemma 2.3,

$$\sigma_T(x, y) = n - 2 - \delta_T^-(x, y) - \delta_T^+(x, y) \text{ and } \delta_T^-(x, y) = \delta_T^+(x, y).$$

Therefore,  $\sigma_T(x, y)$  is odd, and hence  $\sigma_T$  is odd as well. By Lemma 3.2,  $\sigma_T \leq \lfloor (n-1)/2 \rfloor = 2k$ . Since  $\sigma_T$  is odd, we obtain  $\sigma_T \leq 2k-1$ . It follows from Proposition 3.1 that  $s(T) \leq 2k-1$ .

For the third statement, suppose that  $n = 4k$ . If  $T$  is not near-regular, then  $\delta_T < 2k-1$ , and hence  $s(T) \leq 2k-2$  by Proposition 3.1. Suppose that  $T$  is near-regular. By Remark 2.1, for every  $z \in V$ ,  $\delta_T^+(z) \in \{2k, 2k-1\}$ . It follows from (2.2) that

$$(3.1) \quad \sum_{\{x,y\} \in \binom{V}{2}} \sigma_T(x, y) = \sum_{z \in V} \delta_T^+(z) \delta_T^-(z) = 8k^2(2k-1).$$

Thus, we obtain

$$\begin{aligned} \sigma_T &\leq \frac{1}{\binom{|V|}{2}} \sum_{\{x,y\} \in \binom{V}{2}} \sigma_T(x, y) \\ &\leq \frac{2}{4k(4k-1)} 8k^2(2k-1) \\ &\leq (2k-1) + \frac{2k-1}{4k-1} \\ &\leq 2k-1. \end{aligned}$$

Since  $s(T) \leq \sigma_T$  by Proposition 3.1, we obtain  $s(T) \leq \sigma_T \leq 2k-1$ . Seeking a contradiction, suppose that  $s(T) = 2k-1$ . We obtain  $\sigma_T = 2k-1$ . Let  $x \in V_{\text{even}}$  and  $y \in V_{\text{odd}}$  (see Notation 2.2). It follows from Lemma 2.3 that  $\sigma_T(x, y)$  is even and hence  $\sigma_T(x, y) \geq 2k$ . Thus, there are at least  $(2k)^2$  unordered pairs  $\{x, y\}$  satisfying  $\sigma_T(x, y) \geq 2k$ . For the other  $2\binom{2k}{2}$  unordered pairs, we have  $\sigma_T(x, y) \geq \sigma_T = 2k-1$ . It follows that

$$\sum_{\{x,y\} \in \binom{V}{2}} \sigma_T(x, y) \geq 2\binom{2k}{2}(2k-1) + (2k)^2(2k) > 8k^2(2k-1),$$

which contradicts (3.1). Consequently,  $s(T) \leq 2k-2$ .  $\square$

#### 4. PROOF OF THEOREM 1.2

To begin, recall that a *graph* is defined by a vertex set  $V$  and an edge set  $E$ . Two distinct vertices  $x$  and  $y$  of  $G$  are *adjacent* if  $\{x, y\} \in E$ . For a vertex  $x$  in  $G$ , the set

$$N_G(x) := \{y \in V : \{x, y\} \in E\}$$

is called the *neighborhood* of  $x$  in  $G$ . The *degree* of  $x$  is  $\delta_G(x) := |N_G(x)|$ .

Let  $T = (V, A)$  be a tournament. To each subset  $C$  of  $V$ , we associate a graph in the following way. Denote by  $s_C(T)$  the minimum number of arcs that must be reversed to make  $C$  a module of  $T$ . Clearly,

$$(4.1) \quad s(T) = \min \{s_C(T) : 2 \leq |C| \leq n-1\}.$$

A graph  $G = (V, E)$  is called a *decomposability graph* for  $C$  if  $|E| = s_C(T)$  and  $C$  is a module of the tournament

$$\text{Inv}(T, \{(x, y) \in A : \{x, y\} \in E\})$$

obtained from  $T$  by reversing the arc between  $x$  and  $y$  for each edge  $\{x, y\}$  of  $G$ . In the next lemma, we provide some of the properties of decomposability graphs.

**Lemma 4.1.** *Let  $T = (V, A)$  be a  $n$ -tournament and let  $C$  be a subset of  $V$  such that  $2 \leq |C| \leq n - 1$ . Given a decomposability graph  $G = (V, E)$  for  $C$ , the following assertions hold*

- $G$  is bipartite with bipartition  $\{C, V \setminus C\}$ ;
- for each  $x \in V \setminus C$ ,  $N_G(x) = N_T^+(x) \cap C$  or  $N_G(x) = N_T^-(x) \cap C$ , and  $\delta_G(x) = \min(|N_T^-(x) \cap C|, |N_T^+(x) \cap C|)$ .

*Proof.* The first assertion follows from the minimality of  $|E| = s_C(T)$ . For the second assertion, consider  $x \in V \setminus C$ . Since  $C$  is a module of the tournament  $\text{Inv}(T, \{(x, y) \in A : \{x, y\} \in E\})$ , we have

$$N_G(x) = N_T^+(x) \cap C \text{ or } N_G(x) = N_T^-(x) \cap C.$$

Furthermore, it follows from the minimality of  $|E| = s_C(T)$  that

$$\delta_G(x) = \min(|N_T^-(x) \cap C|, |N_T^+(x) \cap C|).$$

□

The next proposition is useful to prove Theorems 1.2 and 1.3.

**Proposition 4.2.** *Let  $T = (V, A)$  be an  $n$ -tournament and let  $C$  be a subset of  $V$  such that  $2 \leq |C| \leq n - 1$ . Given a decomposability graph  $G = (V, E)$  for  $C$ , the following statements hold*

- if  $n - \delta_T \leq |C|$ , then  $s_C(T) \geq \delta_T$ ;
- if  $|C| \leq \sigma_T$ , then  $s_C(T) \geq \sigma_T$ .

*Proof.* Before showing the first assertion, we establish

$$(4.2) \quad |E| \geq (n - |C|)(|C| - (n - 1 - \delta_T)).$$

Let  $x \in V \setminus C$ . By the second assertion of Lemma 4.1

$$\begin{aligned} \delta_G(x) &= \min(|N_T^-(x) \cap C|, |N_T^+(x) \cap C|) \\ &= |C| - \max(|N_T^-(x) \cap C|, |N_T^+(x) \cap C|). \end{aligned}$$

Therefore, we obtain

$$(4.3) \quad \begin{aligned} \delta_G(x) &\geq |C| - \max(|N_T^-(x)|, |N_T^+(x)|) \\ &\geq (|C| - (n - 1 - \delta_T)). \end{aligned}$$

Since  $G$  is bipartite with bipartition  $\{C, V \setminus C\}$ , we have

$$|E| = \sum_{x \in V \setminus C} \delta_G(x).$$



It follows from (4.3) that

$$\begin{aligned} |E| &\geq |V \setminus C|(|C| - (n - 1 - \delta_T)) \\ &\geq (n - |C|)(|C| - (n - 1 - \delta_T)). \end{aligned}$$

Thus, (4.2) holds. Moreover, we have

$$(n - |C|)(|C| - (n - 1 - \delta_T)) - \delta_T = (n - 1 - |C|)(|C| - (n - \delta_T)).$$

Now, to prove the first assertion, suppose that  $n - \delta_T \leq |C|$ . We obtain

$$(n - 1 - |C|)(|C| - (n - \delta_T)) \geq 0,$$

and hence

$$(n - |C|)(|C| - (n - 1 - \delta_T)) \geq \delta_T.$$

It follows that  $s_C(T) = |E| \geq \delta_T$ .

Before showing the second assertion, we establish

$$(4.4) \quad |E| \geq \frac{|C|}{2}(2 - |C| + \sigma_T).$$

Consider two vertices  $x \neq y \in C$ . For convenience, denote by  $\mathcal{S}_T(x, y)$  the set of separators of  $\{x, y\}$ . Clearly, we have  $(\mathcal{S}_T(x, y) \setminus C) \subseteq N_G(x) \cup N_G(y)$ . It follows that

$$\delta_G(x) + \delta_G(y) \geq |\mathcal{S}_T(x, y) \setminus C| \geq \sigma_T(x, y) - (|C| - 2).$$

Consequently, we obtain

$$(4.5) \quad \delta_G(x) + \delta_G(y) \geq \sigma_T - |C| + 2.$$

Furthermore, observe that

$$\sum_{\{x, y\} \in \binom{C}{2}} (\delta_G(x) + \delta_G(y)) = (|C| - 1) \sum_{x \in C} \delta_G(x).$$

It follows from (4.5) that

$$(|C| - 1) \sum_{x \in C} \delta_G(x) \geq \binom{|C|}{2} (2 - |C| + \sigma_T).$$

Therefore, we have

$$\sum_{x \in C} \delta_G(x) \geq \frac{|C|}{2} (2 - |C| + \sigma_T).$$

Since  $G$  is bipartite with bipartition  $\{C, V \setminus C\}$ , we have

$$|E| = \sum_{x \in C} \delta_G(x).$$

We obtain

$$|E| \geq \frac{|C|}{2} (2 - |C| + \sigma_T),$$

so (4.4) holds.

Finally, to prove the second assertion, suppose that  $|C| \leq \sigma_T$ . We obtain

$$\frac{|C|}{2}(2 - |C| + \sigma_T) \geq \sigma_T.$$

Since  $s_C(T) = |E|$ , it follows from (4.4) that  $s_C(T) \geq \sigma_T$ .  $\square$

*Proof of Theorem 1.2.* Let  $l \in \{1, 2, 3\}$ . Consider a tournament  $R$  from  $T$  by removing  $l$  vertices  $v_1, \dots, v_l$ . Set  $V' := V \setminus \{v_1, \dots, v_l\}$ . It follows from Theorem 1.1 that  $s(R) \leq (2k + 1) - l$ . It remains to show that  $s(R) \geq (2k + 1) - l$ . By (4.1), it suffices to verify that  $s_C(R) \geq (2k + 1) - l$  for every subset  $C$  of  $V'$  such that

$$2 \leq |C| \leq (4k + 2) - l.$$

Let  $C \subseteq V'$  such that

$$2 \leq |C| \leq (4k + 2) - l.$$

We distinguish the following three cases.

CASE 1: Suppose that  $2 \leq |C| \leq (2k + 1) - l$ .

Since  $T$  is doubly regular, it follows from Proposition 2.4 that  $\sigma_T = 2k + 1$ . Therefore,  $\sigma_R \geq (2k + 1) - l$ . Since

$$2 \leq |C| \leq (2k + 1) - l, \quad \sigma_R \geq |C|.$$

It follows from Proposition 4.2 that  $s_C(R) \geq \sigma_R$ , and hence  $s_C(R) \geq (2k + 1) - l$ .

CASE 2: Suppose that  $2k + 2 \leq |C| \leq (4k + 2) - l$ .

Since  $T$  is doubly regular, it follows from Proposition 2.4 that  $T$  is regular. Thus,  $\delta_T = 2k + 1$ . It follows that  $\delta_R \geq (2k + 1) - l$ . Since

$$2k + 2 \leq |C| \leq (4k + 2) - l,$$

we obtain  $|C| + \delta_R \geq (4k + 3) - l$ . It follows from Proposition 4.2 that  $s_C(R) \geq \delta_R$ , and hence  $s_C(R) \geq (2k + 1) - l$ .

CASE 3:  $(2k + 2) - l \leq |C| \leq 2k + 1$ .

Let  $G = (E', V')$  be a decomposability graph for  $C$ . We verify that

$$(4.6) \quad |\{x \in V' \setminus C : \delta_G(x) \neq 0\}| \geq |V' \setminus C| - 1.$$

Otherwise, there exist  $x \neq y \in V' \setminus C$  such that  $\delta_G(x) = \delta_G(y) = 0$ . It follows from the second assertion of Lemma 4.1 applied to  $R$  that  $C$  is contained in one of the following intersections:

$$\begin{aligned} & (N_R^-(x) \cap N_R^+(y)), \quad (N_R^-(x) \cap N_R^-(y)), \\ & (N_R^+(x) \cap N_R^+(y)), \quad \text{or} \quad (N_R^+(x) \cap N_R^-(y)). \end{aligned}$$

Thus,  $C$  is contained in

$$\begin{aligned} & (N_T^-(x) \cap N_T^+(y)), \quad (N_T^-(x) \cap N_T^-(y)), \\ & (N_T^+(x) \cap N_T^+(y)), \quad \text{or} \quad (N_T^+(x) \cap N_T^-(y)). \end{aligned}$$

It follows from Proposition 2.4 that  $|C| \leq k + 1$ , which contradicts  $|C| \geq (2k + 2) - l$  because  $k \geq l$ . Consequently, (4.6) holds. Since  $G$  is bipartite with bipartition  $\{C, V' \setminus C\}$ , we have

$$|E'| = \sum_{x \in V' \setminus C} \delta_G(x).$$

Since  $|E'| = s_C(R)$ , we obtain

$$\begin{aligned} s_C(R) &= \sum_{x \in V' \setminus C} \delta_G(x) \\ &\geq |V' \setminus C| - 1 \quad (\text{by (4.6)}) \\ &\geq (2k + 1) - l \quad (\text{because } |C| \leq 2k + 1). \quad \square \end{aligned}$$

## 5. PROOF OF THEOREM 1.3

If a tournament  $T$  is obtained from a doubly regular  $(4k + 3)$ -tournament by deleting one vertex, then  $T$  is near-regular and it follows from Proposition 2.4 that

- (C1) if  $x, y \in V_{\text{even}}$  (see Notation 2.2) or  $x, y \in V_{\text{odd}}$ , then  $\sigma_T(x, y) = 2k + 1$ .
- (C2) if  $x \in V_{\text{even}}$  and  $y \in V_{\text{odd}}$ , then  $\sigma_T(x, y) = 2k$ .

Conversely, we have the following proposition.

**Proposition 5.1.** *Let  $T = (V, A)$  be a near-regular tournament of order  $4k + 2$ . If  $T$  satisfies (C1) and (C2), then the tournament  $U$  obtained from  $T$  by adding a vertex  $\omega$  which dominates  $V_{\text{odd}}$  and is dominated by  $V_{\text{even}}$  is doubly regular.*

The proof of this proposition uses the following lemma.

**Lemma 5.2.** *Under the notation and conditions of Proposition 5.1, for every  $x, y \in V$  such that  $x \rightarrow y$ , we have*

- if  $x, y \in V_{\text{odd}}$ , then

$$|N_T^-(x) \cap N_T^+(y)| = k + 1 \quad \text{and} \quad |N_T^+(x) \cap N_T^-(y)| = k;$$

- if  $x, y \in V_{\text{even}}$ , then

$$|N_T^-(x) \cap N_T^+(y)| = k + 1 \quad \text{and} \quad |N_T^+(x) \cap N_T^-(y)| = k;$$

- if  $x \in V_{\text{odd}}$  and  $y \in V_{\text{even}}$ , then

$$|N_T^-(x) \cap N_T^+(y)| = k \quad \text{and} \quad |N_T^+(x) \cap N_T^-(y)| = k;$$

- if  $x \in V_{\text{even}}$  and  $y \in V_{\text{odd}}$ , then

$$|N_T^-(x) \cap N_T^+(y)| = k + 1 \quad \text{and} \quad |N_T^+(x) \cap N_T^-(y)| = k - 1.$$

*Proof.* We have

$$(5.1) \quad \begin{cases} |N_T^-(x) \cap N_T^-(y)| + |N_T^-(x) \cap N_T^+(y)| = |N_T^-(x)| \\ \text{and} \\ |N_T^+(x) \cap N_T^+(y)| + |N_T^-(x) \cap N_T^+(y)| = |N_T^+(y)|. \end{cases}$$

By using Lemma 2.3, we obtain

$$(5.2) \quad |N_T^-(x) \cap N_T^+(y)| = \frac{1}{2} (|N_T^-(x)| + |N_T^+(y)| - 4k + \sigma_T(x, y)).$$

Using Assertions (C1) and (C2), we obtain the desired values of

$$|N_T^-(x) \cap N_T^+(y)|.$$

Then,  $|N_T^+(x) \cap N_T^-(y)|$  follows immediately because

$$|N_T^+(x) \cap N_T^-(y)| = \sigma(x, y) - |N_T^-(x) \cap N_T^+(y)|.$$

□

*Proof of Proposition 5.1.* Clearly,  $U$  is regular. Furthermore, by Lemma 2.3,

$$\delta_U^+(x, y) = \frac{4k - \sigma_U(x, y) + 1}{2}$$

for distinct  $x, y \in V \cup \{\omega\}$ . Therefore,  $U$  is doubly regular if and only if  $\sigma_U(x, y) = 2k + 1$  for every  $x, y \in V \cup \{\omega\}$ . This equality follows directly from (C1) and (C2) when  $x, y \in V$ . Hence, it remains to prove that

$$(5.3) \quad \sigma_U(\omega, z) = 2k + 1 \text{ for every } z \in V.$$

Consider  $z \in V$ . It is not difficult to see that

$$\sigma_U(\omega, z) = |N_T^+(z) \cap V_{\text{even}}| + |N_T^-(z) \cap V_{\text{odd}}| \quad (\text{see Notation 2.2}).$$

Let

$$A_{\text{odd}} := (N_T^+(z) \cap V_{\text{odd}}), \quad A_{\text{even}} := (N_T^+(z) \cap V_{\text{even}}),$$

$$B_{\text{odd}} := (N_T^-(z) \cap V_{\text{odd}}), \quad \text{and} \quad B_{\text{even}} := (N_T^-(z) \cap V_{\text{even}}).$$

We determine  $|A_{\text{odd}}|$ ,  $|A_{\text{even}}|$ ,  $|B_{\text{odd}}|$ , and  $|B_{\text{even}}|$  as follows.

To begin, suppose that  $z \in V_{\text{odd}}$ . By counting the number of arcs from  $N_T^+(z)$  to  $N_T^-(z)$  in two ways, we get

$$\begin{aligned} & \sum_{t \in A_{\text{odd}}} |N_T^-(z) \cap N_T^+(t)| + \sum_{t \in A_{\text{even}}} |N_T^-(z) \cap N_T^+(t)| \\ &= \sum_{t \in B_{\text{odd}}} |N_T^-(t) \cap N_T^+(z)| + \sum_{t \in B_{\text{even}}} |N_T^-(t) \cap N_T^+(z)|. \end{aligned}$$

It follows from Lemma 5.2 that

$$(k + 1)|A_{\text{odd}}| + k|A_{\text{even}}| = (k + 1)(|B_{\text{odd}}| + |B_{\text{even}}|).$$

Since  $z \in V_{\text{odd}}$ , we have

$$\begin{aligned} |A_{\text{odd}}| + |A_{\text{even}}| &= 2k + 1, & |B_{\text{odd}}| + |B_{\text{even}}| &= 2k, \\ |A_{\text{odd}}| + |B_{\text{odd}}| &= 2k, & \text{and} & \quad |A_{\text{even}}| + |B_{\text{even}}| = 2k + 1. \end{aligned}$$

It follows that  $|A_{\text{odd}}| = k$ ,  $|B_{\text{odd}}| = k$ ,  $|B_{\text{even}}| = k$ , and  $|A_{\text{even}}| = k + 1$ .

Similarly, if  $z \in V_{\text{even}}$ , then  $|A_{\text{odd}}| = k$ ,  $|B_{\text{odd}}| = k + 1$ ,  $|B_{\text{even}}| = k$ , and  $|A_{\text{even}}| = k$ .

Consequently, (5.3) holds whatever the parity of  $\delta_T^+(z)$ . □

*Proof of Theorem 1.3.* Given  $k \geq 1$ , consider a tournament  $T$ , with  $4k + 2$  vertices, such that  $s(T) = 2k$ . By Proposition 3.1,  $\delta_T \geq 2k$ . Thus,  $T$  is near-regular. We conclude by applying Proposition 5.1. Therefore, it suffices to verify that (C1) and (C2) are satisfied.

By Proposition 3.1,  $\sigma_T(x, y) \geq 2k$  for distinct  $x, y \in V$ . Moreover, it follows from Lemma 2.3 that if  $x, y \in V_{\text{even}}$  or  $x, y \in V_{\text{odd}}$  (see Notation 2.2), then  $\sigma_T(x, y)$  is odd and hence  $\sigma_T(x, y) \geq 2k + 1$ .

Lastly, seeking a contradiction, suppose that (C1) or (C2) are not satisfied. One of the following situations occurs

- there are distinct  $x, y \in V_{\text{even}}$  such that  $\sigma_T(x, y) > 2k + 1$ ,
- there are distinct  $x, y \in V_{\text{odd}}$  such that  $\sigma_T(x, y) > 2k + 1$ ,
- there are  $x \in V_{\text{even}}$  and  $y \in V_{\text{odd}}$  such that  $\sigma_T(x, y) > 2k$ .

We obtain

$$\begin{aligned} \sum_{\{x,y\} \in \binom{V}{2}} \sigma_T(x, y) &> (2k + 1) \binom{|V_{\text{even}}|}{2} + (2k + 1) \binom{|V_{\text{odd}}|}{2} + 2k |V_{\text{even}}| |V_{\text{odd}}| \\ &= 4k(2k + 1)^2, \end{aligned}$$

which contradicts (2.2). Consequently, (C1) and (C2) are satisfied. □

## 6. CONCLUDING REMARKS

**1.** An  $n$ -tournament with  $n = 4k + 1$  is called *near-homogeneous* [19] if every unordered pair of its vertices belongs to  $k$  or  $(k + 1)$  3-cycles. The existence of near-homogeneous tournaments is discussed in [19], [1], and [14]. For  $n \equiv 1 \pmod{4}$  or  $n \equiv 0 \pmod{4}$ , the  $n$ -tournaments given in Theorem 1.2 are not the only ones with a maximal simplicity index. Indeed, let  $T$  be a near-homogeneous tournament  $T$  with  $4k + 1$  vertices. By adapting the proof of Theorem 1.2, we can verify that  $s(T) = 2k - 1$ . Moreover, by removing one vertex from  $T$ , we obtain a  $(4k)$ -tournament whose simplicity index is  $2k - 2$ . Consequently, an analogue of Theorem 1.3 does not exist when  $l = 2$  or 3.

**2.** The score vector of a  $n$ -tournament  $T$  is the ordered sequence of the scores of  $T$  listed in a nondecreasing order. Kirkland [11] proved that the reversal index of an  $n$ -tournament  $T$  is equal to

$$\min \left\{ \sum_{i=1}^j s_i - \binom{j}{2} : 1 \leq j \leq n \right\},$$

where  $(s_1, s_2, \dots, s_n)$  is the score vector of  $T$ .

An equivalent form of this result was obtained earlier by Li and Huang [13]. As a consequence, two tournaments with the same score vector have the

same reversal index. This fact is not true for the simplicity index. Indeed, for an odd number  $n$ , consider the  $n$ -tournament  $R_n$  whose vertex set is the additive group  $\mathbb{Z}_n = \{0, 1, \dots, n-1\}$  of integers modulo  $n$ , such that  $i$  dominates  $j$  if and only if  $i-j \in \{1, \dots, (n-1)/2\}$ . It is not difficult to verify that the tournament  $R_n$  is regular and simple. Moreover, by reversing the arc  $(0, (n-1)/2)$ , we obtain a nonsimple tournament. Hence, the simplicity index of  $R_n$  is 1. If  $n$  is prime and  $n \equiv 3 \pmod{4}$ , the Paley tournament  $P_n$  is also regular but its simplicity index is  $(n-1)/2$ .

Let  $T$  be an  $n$ -tournament with vertex set  $\{v_1, \dots, v_n\}$ . The sequences  $L_1 = (\delta_T^+(v_i))_{1 \leq i \leq n}$  and  $L_2 = (\delta_T^+(v_i, v_j))_{1 \leq i < j \leq n}$  are frequently used in our study of the simplicity index. It is natural to ask whether the simplicity index of  $T$  can be expressed in terms of  $L_1$  and  $L_2$ .

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